

Business Continuity and Disaster Recovery Plan Disclosure Statement

Manning & Napier* recognizes the many ways our customers and representatives have come to rely on the wide variety of services we provide. We also recognize the reality that unexpected events, large-scale or small, can occur that may affect our ability to deliver those services through our normal business operation. Since the timing and impact of disasters and disruptions are unpredictable, Manning & Napier will be flexible in responding to actual events as they occur.

Our Business Continuity and Disaster Recovery Plans

Manning & Napier maintains a business continuity plan and disaster recovery plan (the "Plans") to respond, reasonably and effectively, to events of varying scope by safeguarding employees' lives and Firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting the Firm's critical books and records, and allowing our customers to transact business. The Plans cover Manning & Napier's response to both internal and external significant business disruptions (SBDs.) Internal SBDs affect only the Firm's ability to communicate and do business, while external SBDs prevent the operation of the securities markets or impact a number of industry participants.

The Plans address data backup and recovery, mission critical systems, financial and operational assessments, alternate communications with customers, employees and regulators, alternate locations, customers' access to funds and securities and critical business constituents and platforms. The Plans define the critical functions, essential resources, and system requirements for each department. The Plans address staff responsibilities, remote work capabilities, and assets related to restoring business operations with minimal impact and are reviewed and maintained on an annual basis to ensure that documented information is current and recovery strategies support our operations.

In the event of an SBD, Manning & Napier will notify staff through the Firm's emergency notification system. All employees have the capability to work remotely using their Firm issued device(s) with connectivity based on cloud SaaS service that is both secure and resilient. Additionally, employees have the capability to make and receive phone calls remotely through a Firm approved application. If needed, employees are able to utilize a personal device to access secure, web-based email and other applications as approved by Manning & Napier's IT department.

Contacting Us

Following a significant business disruption, if you are unable to contact us as you usually do at (800) 551-0224, please visit our website at www.manning-napier.com.

The Plan is subject to revision. While no contingency plan can eliminate all risk of business disruption, Manning & Napier continually assesses and updates the Plan to mitigate all reasonable risk. Should the Plan be revised, an updated Disclosure Statement will be available on our website at www.manning-napier.com and upon request by calling (800) 551-0224.