

Manning & Napier Fund, Inc.
Transfer on Death
Supplemental Registration Form



This form is for non-retirement accounts owned as either Individual or Joint Tenants With Right of Survivorship. Please complete this form to ensure proper designation of a beneficiary to your account.

Important: The beneficiary(ies) named on this form will replace any existing beneficiary information listed on your account. You must specify ALL primary and contingent beneficiaries on this form even if you are changing only one beneficiary.

I. ACCOUNT OWNER INFORMATION – Please print

Name(s) Account Number

Address

City State Zip

()

Daytime Telephone

II. BENEFICIARY DESIGNATION – If you are married, read Section III - Spousal Consent to TOD Beneficiary Designation on page 2.

Primary Contingent

Per Stirpes

Name Social Security Number

%

Date of Birth Relationship Share Percentage

()

Address Daytime Telephone

City State Zip

Primary Contingent

Per Stirpes

Name Social Security Number

%

Date of Birth Relationship Share Percentage

()

Address Daytime Telephone

City State Zip

Primary Contingent

Per Stirpes

Name Social Security Number

%

Date of Birth Relationship Share Percentage

()

Address Daytime Telephone

City State Zip

Check here if you have attached a separate sheet of paper with additional beneficiary designations. Please include the date and your signature on all additional pages.

III. SPOUSAL CONSENT TO TOD BENEFICIARY DESIGNATION – Spousal consent is required for shareholders residing in states deemed as “Community Property”. This section is not required if the co-owners are married to each other.

If you are married to the account owner (or co-owner) and he/she designated a primary beneficiary(ies) other than you, please consult a legal advisor about the state and tax law implications of this beneficiary designation, including the need for your consent.

I am the spouse of the account owner named in Section I, and I expressly consent to the beneficiary(ies) designated by my spouse in Section II or attached. I understand that by consenting to the designation of a beneficiary other than me I am waiving my right to any benefit under the account.

Name of Spouse – please print

X

Signature of Spouse

Date

IV. AUTHORIZATION – All registered account owners must sign.

I acknowledge that this account is being established under the Uniform Transfer on Death Security Registration Act (“TOD Act”) of the state of residence indicated in Section I, or, if my state of residence has not adopted the TOD Act, I understand that this account will be established under the Maryland TOD Act. Furthermore, I acknowledge that, upon my death, should there be a conflict with applicable state law, the account will be administered in accordance with the terms of this document. Manning & Napier makes no express or implied representation that the Beneficiary Transfer on Death Registration will be effective if the account owner lives in a jurisdiction that has not adopted the uniform TOD Act or any other statute of similar effect at the time of the owner’s death.

Written instructions must be received from the owner(s) to change or revoke a beneficiary designation. Until the owner(s) die(s), the beneficiary has no rights in the shares and no instructions can be accepted from, or other account information provided to, such beneficiaries.

Upon the death of the sole or last joint owner, the shares become the property of the TOD beneficiary. Additionally, the beneficiary(ies) must survive the owner to be entitled to the shares; if the beneficiary does not survive the owner(s), the beneficiary’s estate would not be entitled to the shares, and the shares would then become property of the deceased owner’s estate. If the beneficiary survives the owner(s), but is not alive when the transfer request is received, the shares become property of the beneficiary’s estate.

Before signing, carefully review both the above information for accuracy and the TOD rules described above, as they will be binding upon your heirs, representatives, and assigns. It is recommended that you seek the advice of a legal advisor with respect to the legal consequences of signing this form.

X

Signature of Owner

Date

X

Signature of Co-Owner (if applicable)

Date

If you are opening a new Transfer on Death account, please also complete a Non-IRA Application.

Mail to:

First Class Mail:

Manning & Napier Fund, Inc.
PO Box 9845
Providence, RI 02940-8045

Overnight Mail:

Manning & Napier Fund, Inc.
4400 Computer Drive
Westborough, MA 01581