

# Manning & Napier Fund, Inc. Name Change Authorization Form



Use this form in order to update your name on your Manning & Napier Fund, Inc. account(s). Your account number(s) will remain the same. Important: Changing your name could impact other aspects of your account such as bank instructions, beneficiary information, and eDelivery. If additional changes are needed, visit [www.manning-napier.com](http://www.manning-napier.com) for the appropriate form or contact 1-800-466-3863 for more information.

## I. ACCOUNT OWNER INFORMATION – Please print

Former Name \_\_\_\_\_ Account Number(s) that this change applies to \_\_\_\_\_

Current Name \_\_\_\_\_ Social Security Number \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

( \_\_\_\_\_ ) \_\_\_\_\_

Daytime Telephone Number \_\_\_\_\_ Email Address \_\_\_\_\_

Check here if this is an address change.  Check here if this is an email address change.

## II. SUBSTITUTE W-9 FORM

Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number; and (2) I am not subject to backup withholding because: a) I am exempt from backup withholding, or b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. person (including a U.S. resident alien); and (4) The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. Cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

NOTE: The IRS does not require your consent to any provision on this application other than the certification required to avoid backup withholding.

## III. AUTHORIZATION

### Notarization from a notary public or a copy of a certified court document that authorized the name change is required.

By signing below, I request that my account(s) with Manning & Napier Fund, Inc. be updated to reflect my current name. For the purpose of inducing Manning & Napier Fund, Inc. its agents and affiliates to act upon these instructions, I agree to fully indemnify and hold harmless Manning & Napier Fund, Inc., its agents, affiliates, officers, directors, employees, successors and assigns from and against any and all losses, liabilities, claims and costs of whatever kind (including reasonable attorney's fees) resulting from or caused by transactions made in accordance with these instructions.

Please provide both your former name and current name in this section:

X \_\_\_\_\_  
Former Name – Signature \_\_\_\_\_ Date \_\_\_\_\_

X \_\_\_\_\_  
Current Name – Signature \_\_\_\_\_ Date \_\_\_\_\_

### NOTARY PUBLIC SIGNATURE (if applicable)

State of \_\_\_\_\_

County of \_\_\_\_\_

On the \_\_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_\_ before me, the undersigned, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity (ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

X \_\_\_\_\_  
Notary Public Signature \_\_\_\_\_

Printed Name \_\_\_\_\_

Commission Expiration Date (mm/dd/yyyy) \_\_\_\_\_

Mail to: **First Class Mail:**  
Manning & Napier Fund, Inc.  
PO Box 9845  
Providence, RI 02940-8045

**Overnight Mail:**  
Manning & Napier Fund, Inc.  
4400 Computer Drive  
Westborough, MA 01581

If required, place notary stamp / seal.